



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

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Washington, D.C. 20231Sect 102
S
#

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NO/TITLE

09420965

10/20/99

HEATH

E 1074.0100US1

021186
SCHWEGMAN LUNDBERG WOESSNER & KLUTH
P O BOX 2938
MINNEAPOLIS MN 55402

0212/1115

NOT ASSIGNED

DATE MAILED: 1623

11/15/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a small entity (statement filed) non-small entity is \$ 310.00

1. The statutory basic filing fee is:
 missing.
 insufficient.

Applicant must submit \$ 176.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. The following additional claims fees are due:

\$ for total claims over 20.
\$ for independent claims over 3.

\$ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:
 is missing or unsigned.
 does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
 7. Your filing receipt was mailed in error because your check was returned without payment.
 8. The application was filed in a language other than English.
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

01/21/2000 NKRASASU 00000007 09420965

01 FC:205

65.00 00

02 FC:201

380.00 00

03 FC:202

125.00 00

BEST AVAILABLE COPY



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ellen M. Heath et al.

Title: MIXING AND POURING APPARATUS AND VESSEL THEREFOR

Docket No.: 1074.010US1

Serial No.: 09/420,965

Filed: October 20, 1999

Due Date: January 15, 2000

Examiner: Unknown

Group Art Unit: 1623

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

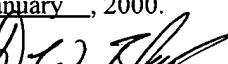
We are transmitting herewith the attached:

- A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27.
- A signed Combined Declaration and Power of Attorney (4 pgs.).
- A check in the amount of \$65.00 to cover the Missing Parts Fee.
- A check in the amount of \$590.00 to cover the Basic Filing Fee and Additional Claims Fee.
- Form PTO-1533 (1pg.)
- Communication Re: Missing Parts (1 pg.)
- A return postcard.
- Other: ____

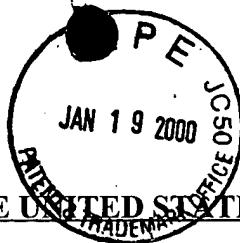
Please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described above, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on this 14 day of January, 2000.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: 
Name: David W. Black
Reg. No. 42,331
DWB:CMG:lht

S/N 09/420,965



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ellen M. Heath et al.

Examiner: Unknown

Serial No.: 09/420,965

Group Art Unit: 1623

Filed: October 20, 1999

Docket: 1074.010US1

Title: MIXING AND POURING APPARATUS AND VESSEL THEREFOR

COMMUNICATION RE: MISSING PARTS

Box Missing Parts

Assistant Commissioner for Patents
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Combined Declaration and Power of Attorney along with a check in the amount of \$65.00 to cover the small entity surcharge and a check in the amount of \$590.00 to cover the small entity basic filing fee.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6944.

Respectfully submitted,

ELLEN M. HEATH ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6944

Date January 14, 2000

By D.W. Black

David W. Black

Reg. No. 42,331

DWB:CMG:lht

I hereby certify that this correspondence is being
deposited with the United States Postal Service as first
class mail in an envelope addressed to Assistant
Commissioner for Patents, Washington, D.C. 20231,
on January 14, 2000
Date of Deposit.

Lauryn Tan

DAVID W. BLACK